



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

George B. Brunt, et al.

Serial No.: 09/783,161

Filed: February 14, 2001

For: SECURE WEB-BASED DOCUMENT  
CONTROL PROCESS AND SYSTEM

) Examiner:

) Group Art Unit: 2131

) Atty. Docket No.: 10.080.001 (CDUS.0002)

) Date: December 19, 2001

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Technology Center 2100

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:

**PETITION UNDER 37CFR 1.48(a)(1) FOR CORRECTION OF INVENTORSHIP**

Applicant respectfully petitions according to Title 37 CFR, section 1.48, paragraph (a), item (1) to correct inventorship on application number 09/783,161 to show John J. Kendrick, Jr., and Robert N. Higbee as inventors and to remove George B. Brunt as an inventor, as I recently learned he was not.

Attached is the statement from Mr. Brunt as required under this rule, and the consent of the Assignee.

Respectfully submitted,

\*02/04/2002 NMOHAMM1 00000110 09783161

01 FC:122

130.00 DP

By: Timothy F. Loomis  
Reg. No. 37,383

Law Offices of Timothy F. Loomis  
2932 Hagen Drive  
Plano, TX 75025  
972-747-0706; 972-747-9996 Fax



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Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:

**STATEMENT FROM PERSON BEING DELETED AS AN INVENTOR**

Please remove me as inventor on application number 09/783,161, as I was not an inventor. The error in inventorship occurred without any deceptive intention on my part.

Respectfully submitted,

  
George B. Brunt

Date: 12-10-2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CONTROL PROCESS AND SYSTEM

) Examiner:

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) Atty. Docket No.: (CDUS.0002)  
) 10.080.001

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Washington, D.C. 20231

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Technology Center 2100

Sir:

**CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP**

Assignee hereby provides permission to correct inventorship on application number 09/783,161 to show John J. Kendrick, Jr., and Robert N. Higbee as inventors and to remove George B. Brunt as inventor.

Respectfully submitted,

Robert N. Higbee  
CEO  
ControlDocs Operating Corp.

Date: Dec. 11, 2001



Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> <b>(37 CFR 1.63)</b>  <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)))	<b>Attorney Docket Number</b>	10.080.001 (CDUS.0002)
	<b>First Named Inventor</b>	John J. Kendrick, Jr.
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09 / 783,161
	<b>Filing Date</b>	2/14/2001
	<b>Group Art Unit</b>	2131
	<b>Examiner Name</b>	

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As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Secure Web-Based Document Control Process and System**

the specification of which (Title of the Invention)

☐ is attached hereto  
OR  
☒ was filed on (MM/DD/YYYY) **02/14/2001** as United States Application Number or PCT International

Application Number **09/783,161** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application	Foreign Filing Date	Priority	Certified Copy Attached?	
			YES	NO
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

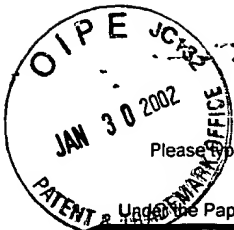
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/182,536	02/15/2000

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Please type a plus sign (+) inside this box → ☐

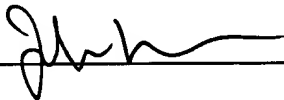
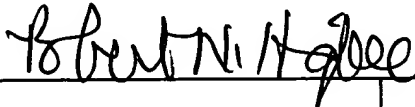
PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label		OR <input checked="" type="checkbox"/> Correspondence address below	
Name Timothy F. Loomis		RECEIVED	
Address Law Offices of Timothy F. Loomis		FEB 07 2002	
Address 2932 Hagen Drive		Technology Center 2100	
City Plano	State TX	ZIP 75025	
Country USA	Telephone (972) 747-0706	Fax (972) 747-9996	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) John J.		Family Name or Surname	Kendrick, Jr.
Inventor's Signature 		Date 12-17-01	
Residence: City Dallas	State TX	Country USA	Citizenship US
Mailing Address 5311 Byron Avenue			
Mailing Address			
City Dallas	State TX	ZIP 75205	Country USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Robert N.		Family Name or Surname	Higbee
Inventor's Signature 		Date 12-11-01	
Residence: City Plano	State TX	Country USA	Citizenship US
Mailing Address 3805 Ellington Drive			
Mailing Address			
City Plano	State TX	ZIP 75093	Country USA
<input type="checkbox"/> Additional inventors are being named on _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			



GP2131

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application	09/783,161	
	Filing Date	February 14, 2001	
	First Named	George B. Brunt	
	Group Art Unit	2131	
	Examiner Name		
Total Number of Pages in This Submission	7	Attorney Docket Number	10.080.001 (CDUS.0002)

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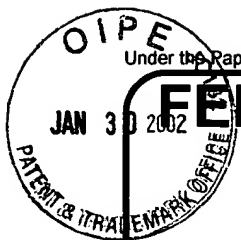
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Statement from person being deleted as inventor; Declaration; Consent of the Assignee to correct inventorship; Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

Petition Under 37 CFR 1.48(a)(1) to Correct Inventorship in a Patent Application

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Timothy F. Loomis
Signature	
Date	December 19, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 12/19/01			
Typed or printed name	Cheryl A. Sanders		
Signature		Date	December 19, 2001

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$130.00

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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Complete if Known

Application Number 09/783,161  
Filing Date February 14, 2001  
First Named Inventor George B. Brunt  
Examiner Name  
Group Art Unit 2131  
Attorney Docket No. 10.080.001 (CDUS.0002)

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## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)			

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** = 0	X	0.00
Multiple Dependent	-3** = 0	X	0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) 37 CFR 1.17(i) Processing Fee			130.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$130.00

## SUBMITTED BY

Name	Registration No. (Attorney/Agent)	Telephone
Timothy F. Loomis	37,383	(972) 747-0706
Signature	Date	December 19, 2001

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